



# Rare-Earth-Doped Sesquioxides for Lasers in the Mid-Infrared Spectral Range

Alexander Marc Heuer



# **Rare-Earth-Doped Sesquioxides for Lasers in the Mid-Infrared Spectral Range**

## **Dissertation**

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## Abstract

*A. M. Heuer: Rare-Earth-Doped Sesquioxides for Lasers in the Mid-Infrared Spectral Range*

The topic of this work is the investigation of rare-earth-doped sesquioxide crystals for lasers operating in the mid-infrared spectral range.  $\text{Er}^{3+}$ -,  $\text{Dy}^{3+}$ -, and  $\text{Pr}^{3+}$ -doped sesquioxides were grown via the cooling down and heat exchanger method. Optimization of those methods led to the first reported growth from the melt of  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$ . High-quality boules with combinations of the previously mentioned rare-earth dopants and the sesquioxides  $\text{Lu}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{Sc}_2\text{O}_3$  were grown. Segregation coefficients of various materials were determined.

Spectroscopic characterization of all grown materials was performed. This includes determination of absorption and emission cross-sections, fluorescence lifetimes, positions of energy levels, and combined excited state absorption cross-sections. The combination of narrow energetic gaps between the energy multiplets and a low segregation coefficient led to  $\text{Pr}^{3+}$  not being investigated further for mid-IR laser operation after determining absorption cross-sections.  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$  showed cross-sections up to a factor of six higher compared to its direct competitor  $\text{Dy}^{3+}:\text{ZBLAN}$ . With excellent thermo-mechanical properties, this material is a candidate for the first oxide-based mid-infrared  $\text{Dy}^{3+}$  laser. Investigations with  $\text{Er}^{3+}$ -doped sesquioxides showed an overlap regarding their emission with the absorption of  $\text{Dy}^{3+}$  in the mid-infrared spectral range, opening up the possibility of in-band pumping. The energetic positions of the Stark levels of  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$  were determined for the first time.

As a continuation of the author's previous work, preliminary modelocking experiments with  $\text{Yb}^{3+}:\text{Lu}_2\text{O}_3$  were conducted in the near-infrared and led to pulse durations as short as 313 fs while retaining more than 64 % of optical-to-optical efficiency. This constitutes the most efficient mode-locked laser oscillator with any gain material. Laser action with  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$  was not obtained, due to increased non-radiative decays out of the upper laser level. Experiments with  $\text{Er}^{3+}:\text{Lu}_2\text{O}_3$  managed to improve on previous results that were obtained with comparable doping concentrations.  $\text{Er}^{3+}:\text{Y}_2\text{O}_3$ , while seeing an improved crystal quality, still experiences too much scattering for laser action to start. The first mid-infrared continuous wave laser based on  $\text{Er}^{3+}:\text{Sc}_2\text{O}_3$  was realized and straight away a slope efficiency of 32 % with output powers of up to 611 mW was obtained, exemplarily showing the high promise of the investigated subjects.

## Zusammenfassung

*A. M. Heuer: Seltenerddotierte Sesquioxide für Laser im Mittleren Infraroten Spektralbereich.*

Ziel der vorliegenden Arbeit ist die Untersuchung seltenerddotierter Sesquioxidkristalle bezüglich ihrer Eignung für Laseranwendungen im mittleren infraroten Spektralbereich. Es wurden  $\text{Er}^{3+}$ -,  $\text{Dy}^{3+}$ - und  $\text{Pr}^{3+}$ -dotierte Sesquioxide via der Cooling Down und der Heat Exchanger Methode gezüchtet. Eine Optimierung dieser Methoden führte zu der ersten Zucht aus der Schmelze von  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$ . Kristalle aus Kombinationen der vorgenannten Dotierionen und den Sesquioxiden  $\text{Lu}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$  und  $\text{Sc}_2\text{O}_3$  wurden gezüchtet. Einbaukoeffizienten von einigen dieser Materialien wurden bestimmt.

Alle gezüchteten Materialien wurden spektroskopisch charakterisiert. Dies umfasst unter anderem die Bestimmung der Absorptions- und Emissionswirkungsquerschnitte, Fluoreszenzlebensdauern, Lage der Energieniveaus und kombinierten Wirkungsquerschnitte der Absorption aus angeregten Zuständen.  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$  zeigte bis um einen Faktor sechs höhere Wirkungsquerschnitte als sein direkter Konkurrent  $\text{Dy}^{3+}:\text{ZBLAN}$ . In Verbindung mit seinen exzellenten thermomechanischen Eigenschaften ist es ein Kandidat für den ersten oxidbasierten  $\text{Dy}^{3+}$  Laser im mittleren infraroten Spektralbereich. Untersuchungen mit  $\text{Er}^{3+}$ -dotierten Sesquioxiden zeigten einen großen Überlapp zwischen ihrer Emission und der Absorption von  $\text{Dy}^{3+}$  im mittleren infraroten Spektralbereich. Dies eröffnet die Möglichkeit des resonanten Pumpens. Die Position der Starkniveaus von  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$  wurden zum ersten Mal bestimmt.

Aufbauend auf der bisherigen Arbeit des Autors wurden erste Modenkoppelexperimente mit  $\text{Yb}^{3+}:\text{Lu}_2\text{O}_3$  im nahen infraroten Spektralbereich durchgeführt. Pulsdauern bis hinunter zu 313 fs bei über 64 % optisch-optischer Effizienz wurden erreicht. Diese Ergebnisse stellen den bisher effizientesten modengekoppelten Laseroszillator unter Einbezug aller Verstärkungsmaterialien dar. Mit  $\text{Dy}^{3+}:\text{Lu}_2\text{O}_3$  konnte aufgrund von erhöhten nichtstrahlenden Zerfällen keine Lasertätigkeit erreicht werden. Laserexperimente mit  $\text{Er}^{3+}:\text{Lu}_2\text{O}_3$  konnten bisherige Resultate mit vergleichbaren Dotierkonzentrationen übertreffen. Trotz einer gesteigerten Kristallqualität wurde mit  $\text{Er}^{3+}:\text{Y}_2\text{O}_3$ , aufgrund von Streuung, keine Lasertätigkeit erreicht. Der erste Dauerstrichlaser mit  $\text{Er}^{3+}:\text{Sc}_2\text{O}_3$  konnte realisiert werden. Hierbei wurden bereits differentielle Wirkungsgrade von bis zu 32 % und Ausgangsleistungen von bis zu 611 mW erreicht, was exemplarisch für die vielversprechenden Perspektiven der untersuchten Materialien steht.

*The most exciting phrase to hear in science, the one that heralds new discoveries, is not “Eureka!” (I found it!) but “That’s funny ...”*

– Isaac Asimov



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# Glossary

$\alpha$	absorption coefficient
$A$	transition rate
$A_{ij}$	Einstein coefficient
$A_L$	cross-section of the laser beam
$A_P$	cross-section of pumped crystal volume
$\beta$	inversion factor
$\beta_{i \rightarrow f}$	branching ratio for transition $i \rightarrow f$
$c$	speed of light ( $299\,792\,458\,\text{ms}^{-1}$ )
$C_0$	starting doping concentration in the melt
$C_S$	doping concentration in the solid
$E$	energy
$e$	electron charge ( $-1.602\,176\,620\,8 \times 10^{-19}\,\text{C}$ )
$E_{1,2}$	energy level 1, 2
$E_i$	energy of $i$ 'th level
$E_{ij}$	energy difference between $i$ 'th and $j$ 'th Stark level
$E_{\text{p,eff}}$	effective pump power density
$\epsilon_0$	vacuum permittivity ( $8.854\,187\,817\,\text{Fm}^{-1}$ )
$\varepsilon_{\alpha,\beta}$	eigenvalues of the parity operator
$E_{\text{r,eff}}$	effective internal resonator power density
$E_{\text{zpl}}$	zero-phonon line energy
$F$	photon flux
$f$	frequency
$f_{\text{D,A}}$	lineshape function (Donator, Acceptor)

$\gamma$	logarithmic resonator losses
$\gamma_i$	logarithmic internal losses
$\gamma_k$	logarithmic losses of element $k$
$\gamma_{oc}$	logarithmic output coupling mirror losses
$g_i$	degeneracy
$\hat{H}$	Hamilton operator
$h$	Planck constant ( $6.626\,069\,572\,9 \times 10^{-34}$ J s)
$\eta_{abs}$	pump absorption efficiency
$\eta_{oc}$	output coupling efficiency
$\eta_{opt}$	optical-to-optical efficiency
$\eta_p$	pump overlap efficiency
$\eta_{sl}$	slope efficiency
$\eta_{st}$	Stokes efficiency
$\Delta I$	transmission spectra intensity difference
$I$	intensity
$I_0$	incident intensity
$I_e$	pumped transmission spectrum intensity
$I_{fl}$	fluorescence intensity
$I_{meas}$	measured intensity
$I_{norm}$	normalized intensity
$I_u$	unpumped transmission spectrum intensity
$J$	total angular momentum
$\mathbf{j}_i$	individual total angular momentum
$k$	wavenumber
$k_B$	Boltzmann constant ( $1.380\,648\,52 \times 10^{-23}$ JK $^{-1}$ )
$k_{res}$	spectral response
$k_s$	segregation coefficient
$L$	total orbital angular momentum
$l$	length of the gain medium

$L'$	effective resonator length
$\lambda$	wavelength
$\lambda_{\text{ex}}$	excitation wavelength
$\lambda_L$	laser wavelength
$\lambda_P$	pump wavelength
$L_i$	internal losses
$\mathbf{l}_i$	individual orbital angular momentum
$l_i$	angular momentum quantum number
$\vec{l}_i$	angular momentum operator
$l_R$	resonator length
$l'_R$	effective resonator length
$m_e$	electron mass ( $9.109\,383\,56 \times 10^{-31}$ kg)
$m_j$	total angular momentum quantum number
$m_l$	magnetic quantum number
$m_s$	spin quantum number
$n$	refractive index
$N_{1,2}$	population density of energy level $E_{1,2}$
$N_c$	critical population inversion
$N_{\text{dop}}$	dopant ion density
$N_{\text{dop,melt}}$	dopant ion density in the melt
$N_e$	density of excited ions
$n_i$	principle quantum number
$N_i$	population of i'th level
$\nu$	frequency
$\nu_l$	laser frequency
$\nu_p$	pump frequency
$\hat{P}$	parity operator
$p$	doping concentration
$\vec{p}_i$	momentum operator
$P$	power
$P_{\text{abs}}$	absorbed pump power
$P_{\text{in}}$	input pump power

$P_{\text{inc}}$	incident pump power
$P_{\text{out}}$	output power
$P_{\text{out,avg}}$	average output power
$P_{\text{thr}}$	absorbed threshold pump power
$\Psi$	wave function
$\Psi_n$	eigenstate $n$
$q$	photon count
$Q_A$	integrated absorption cross-section
$\vec{r}$	position operator
$R_{\text{dist}}$	distance between ions
$\rho_{\text{ion}}$	cation density
$r_i$	nucleus-electron distance
$r_{ij}$	electron-electron distance
$R_k$	reflectivity of element $k$
$\tilde{R}_m$	ligand position with respect to nucleus
$S$	total spin angular momentum
$\mathbf{s}_i$	individual spin angular momentum
$\vec{s}_i$	spin operator
$\sigma$	cross-section
$\sigma_{\text{abs}}$	absorption cross-section
$\sigma_{\text{abs,l}}$	effective absorption cross-section at laser wavelength
$\sigma_{\text{abs,p}}$	effective absorption cross-section at pump wavelength
$\sigma_{\text{em}}$	emission cross-section
$\sigma_{\text{em,l}}$	effective emission cross-section at laser wavelength
$\sigma_{\text{em,p}}$	effective emission cross-section at pump wavelength
$\sigma_{\text{esa}}$	excited-state absorption cross-section
$\sigma_{\text{gain}}$	gain cross-section
$\sigma_{ij}$	cross-section for transition $i \rightarrow j$
$T$	temperature
$t$	time

$\tau$	lifetime
$\tau_{\text{fl}}$	fluorescence lifetime
$\tau_{\text{nr}}$	non-radiative lifetime
$\tau_{\text{rad}}$	radiative lifetime
$\tau_{\text{sp}}$	spontaneous emission lifetime
$T_k$	transmission of element $k$
$T_m$	modulation period
$T_{\text{oc}}$	output coupling transmission
$V_{\text{out}}$	lock-in amplifier output signal
$\vec{V}(r_i)$	effective centrosymmetric potential
$W_{\text{DA}}$	transition probability
$W_{i,j}$	transition rate from level i to j
$W_1$	emission rate per unit volume
$W_p$	pump absorption rate per unit volume
$Z$	atomic number
$Z_j$	partition function
$Z_m$	ionic charge of m'th ligand



# Acronyms

AR	anti reflective
CW	continuous wave
DFG	difference frequency generation
EDX	energy dispersive x-ray analysis
EM	electromagnetic
ESA	excited-state absorption
ESM	electron scanning microscope
f	Füchtbauer-Ladenburg
FWHM	full width at half maximum
GDD	group delay dispersion
GSA	ground state absorption
GTI	Gires-Tournois interferometer
HEM	heat exchanger method
HR	highly reflective
M <sup>2</sup>	beam quality factor
mcc	McCumber relation
ML	modelocking
OPO	optical parametric oscillator
OPSL	optically pumped semiconductor laser

PID	proportional-integral-derivative
PSD	phase sensitive detector
SESAM	semiconductor saturable absorber mirror
SPM	self-phase modulation
TDL	thin disk laser
Ti:sapphire	titanium-sapphire laser
UC	upconversion
UV	ultraviolet
VECSEL	vertical external cavity surface emitting laser

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