### Berichte aus der Wirtschaftsinformatik

### **Michael Grottke**

# Modeling Software Failures during Systematic Testing

The Influence of Environmental Factors

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# Contents

Li	st of	figure	s	viii
Li	st of	tables	1	x
Fr	eque	ently u	sed notation	xi
1	Intr	oduct	ion	1
2	Soft	tware 1	reliability models and testing	3
	2.1	Introd	luction to the chapter $\dots$	3
	2.2	Impor	tant concepts in software reliability engineering	4
	2.3	Opera	tional versus systematic testing	6
		2.3.1	Operational testing	6
			2.3.1.1 Brief method description	6
			2.3.1.2 Advantages and disadvantages of operational testing $\ \ldots \ \ldots$	7
		2.3.2	Systematic testing	9
			2.3.2.1 Black-box techniques	10
			2.3.2.2 White-box techniques	10
			2.3.2.3 Advantages and disadvantages of systematic testing	13
	2.4	A sur	vey of software reliability growth models	14
		2.4.1	Jelinski-Moranda model	14
		2.4.2	Goel-Okumoto model	15
		2.4.3	Models with a time-varying testing effort	16
		2.4.4	Musa basic execution time model	18
		2.4.5	Characteristics of the fault exposure ratio	19
		2.4.6	Musa-Okumoto model	21
		2.4.7	Enhanced non-homogeneous Poisson process framework	22
		2.4.8	Approach by Piwowarski et al	24
		2.4.9	Rivers-Vouk model	26
	2.5	A mo	del framework	30

ii Contents

	2.6	Testin	g techniq	ues and structural coverage	33
	2.7	Concl	uding ren	narks	35
3	The	parti	al redun	dancy models	37
	3.1	Introd	luction to	the chapter $\dots$	37
	3.2	The b	asic parti	al redundancy model	38
		3.2.1	Approxi	mated expected structural coverage	39
		3.2.2	The dist	cribution of the number of code constructs covered $\dots \dots$	42
		3.2.3	Exact ex	xpected structural coverage	46
	3.3	Exten	ded parti	al redundancy models	48
		3.3.1	The firs	t extended partial redundancy model	49
			3.3.1.1	The approximated expected number of failure occurrences	50
			3.3.1.2	The distribution of the number of failure occurrences	50
			3.3.1.3	The exact expected number of failure occurrences	53
		3.3.2	The seco	ond extended partial redundancy model	54
			3.3.2.1	The approximated number of expected failure occurrences $\ \ . \ \ .$	55
			3.3.2.2	The distribution of the number of failure occurrences	56
			3.3.2.3	The exact expected number of failure occurrences	61
	3.4	Includ	ing the n	nodels in the model framework	63
	3.5	Model	estimation	on	64
		3.5.1	General	${\it remarks}  \dots  \dots  \dots  \dots  \dots  \dots  \dots  \dots  \dots  $	64
		3.5.2	Least sq	quares estimation	66
			3.5.2.1	Fitting the cumulative number of failure occurrences $\ \ldots \ \ldots$	66
			3.5.2.2	Fitting the number of failure occurrences per test case	67
		3.5.3	Maximu	ım likelihood estimation	68
			3.5.3.1	Interpreting the partial redundancy models as NHPP models . $\boldsymbol{.}$	68
			3.5.3.2	Maximizing the likelihood implied by the model setup $\ \ldots \ \ldots$	69
		3.5.4	Staged e	estimation procedures	73
			3.5.4.1	Stage 1: Estimating the basic partial redundancy model $\ \ldots \ \ldots$	73
			3.5.4.2	Stage 2: Estimating the extended partial redundancy models	75
	3.6	Analy	zing mod	el performance	77
		3.6.1	The mo	dels and the estimation procedures $\dots \dots \dots \dots$ .	77
		3.6.2	The dat	a sets	78
		3.6.3	The crit	eria	78
			3.6.3.1	Measures of the deviations of predictions	78
			3.6.3.2	Measures of noise	80
			3.6.3.3	Measures based on the likelihood function	81

Contents

			3.6.3.4 The estimated fraction of detectable faults discovered	82
		3.6.4	The results	83
	3.7	Conclu	uding remarks	88
4	Soft	tware p	process maturity models	91
	4.1	Introd	luction to the chapter	91
	4.2	The C	Sapability Maturity Model for Software	92
		4.2.1	History	92
		4.2.2	The reference model	93
		4.2.3	How to conduct appraisals	97
		4.2.4	Expected benefits of increased process maturity	98
		4.2.5	Criticisms of the SW-CMM $\ \ldots \ \ldots \ \ldots \ \ldots \ \ldots$	99
		4.2.6	Empirical evidence	100
			4.2.6.1 Case studies and surveys	100
			4.2.6.2 Statistical analyses of reliability	101
			4.2.6.3 Statistical analyses of validity	102
	4.3	Emerg	ging standard ISO/IEC 15504	103
		4.3.1	History	103
		4.3.2	The reference model	104
		4.3.3	An assessment model and how to perform assessments	108
		4.3.4	Expected benefits of increased process capability	109
		4.3.5	Criticisms of the emerging standard ISO/IEC 15504	110
		4.3.6	Empirical evidence	110
			4.3.6.1 Statistical analyses of reliability	111
			4.3.6.2 Statistical analyses of validity	113
	4.4	Assess	sing process capability in the PETS project	113
		4.4.1	Basic decisions	113
		4.4.2	Capability questions in the PETS questionnaire	115
		4.4.3	Analyzing the reliability of the capability questions	117
		4.4.4	Analyzing the validity of the capability questions	120
	4.5	Conclu	uding remarks	123
5	The	influe	ence of environmental factors	125
	5.1	Introd	auction to the chapter	125
	5.2	The da	ata sets	127
	5.3	Influer	nce on the estimated fault density	129
		5.3.1	Bivariate analyses of software development process capability	129
		5.3.2	Bivariate analyses of other environmental factors	131

iv Contents

		5.3.3 Multivariate analyses	136
	5.4	Influence on the estimated redundancy level $\ \ldots \ \ldots \ \ldots \ \ldots \ \ldots$	140
		5.4.1 Bivariate analyses	140
		$5.4.2  \text{Multivariate analyses} \ \dots $	147
	5.5	Concluding remarks	152
6	Con	clusions and outlook	153
A	Pro	ofs and derivations	155
	A.1	Mean value function of the model by Yamada et al	155
	A.2	Mean value function of the discrete Rivers-Vouk model	156
	A.3	Recursive formulations of expected values	156
		A.3.1 First extended partial redundancy model	156
		A.3.2 Second extended partial redundancy model	
	A.4	Relationship between $E(M_i)$ and $E(\Xi_i)$	164
В	Info	rmation on the data sets	167
	B.1	Project A	168
	B.2	Project B	169
	В.3	Project C	172
	B.4	Project D	173
	B.5	Project E	174
	B.6	$ Project \; F  \dots  \dots  \dots  \dots  \dots  \dots  \dots  \dots  \dots $	176
	B.7	Project G	177
	B.8	Project H	178
	B.9	Project I	179
	B.10	Project J	180
	B.11	Project K	181
	B.12	Project L $\hdots$	182
	B.13	Project M	183
	B.14	Project N	185
	B.15	Project O	188
	B.16	Project P	189
$\mathbf{C}$	Tab	les of model performance measures	191
	C.1	Project A $\dots$	193
	C.2	Project B	194
	C.3	Project C	195

Contents

Bi	bliog	raphy																					25	51
E	The	PETS	que	sti	on	nai	ire																22	23
D	Elen	nents o	f se	ma	nti	ic c	lat	ta	m	od	.eli	ing	S										22	21
	C.16	Project	Р														•						 2	17
	C.15	Project	Ο																				 2	16
	C.14	Project	N																				 2	10
	C.13	Project	M																				 20	07
	C.12	Project	L										٠										 20	96
	C.11	Project	K																				 20	05
	C.10	Project	J .																				 20	04
	C.9	Project	Ι.																				 20	03
	C.8	Project	Н																				 20	02
	C.7	Project	G																				 20	01
	C.6	Project	F																				 20	00
	C.5	Project	Ε																				 19	97
	C.4	Project	D																				 19	96

vi Contents

# List of figures

2.1	Models included in the continuous variant of the model framework $\ldots \ldots$	32
2.2	Models included in the discrete variant of the model framework	33
2.3	Expected structural coverage growth in the approach by Piwowarski et al. and in	
	the Rivers-Vouk model for $G=1000$ and $p=10$	34
3.1	Structure of the basic partial redundancy model	39
3.2	"Probability mass functions" of $Q_4$ for $G = 100$ , $p = 10$ and $r = 1$ calculated via the closed form expression ( ) and recursively (0)	45
3.3	Probability mass functions of $Q_{100}$ for $G=1000$ , $p=10$ and various redundancy	
	levels $r$	46
3.4	Expected structural coverage growth in the basic partial redundancy model for	
	G = 1000, p = 10 and various redundancy levels $r$	48
3.5	Structure of the first extended partial redundancy model	49
3.6	Probability mass functions of $M_{100}$ according to the first extended model for	
	$G=1000,\ p=10,\ u_0=100$ and various redundancy levels $r$ and activation	
	$probabilities\ s$	53
3.7	Structure of the second extended partial redundancy model	54
3.8	Probability mass functions of $M_{100}$ according to the second extended model for $G = 1000$ , $p = 10$ , $u_0 = 100$ and various redundancy levels $r$ and activation	
	$probabilities\ s$	59
3.9	Expected number of failure occurrences in the first and second extended partial	
	redundancy model for $G = 1000, p = 10, u_0 = 100, r = 0.9 \text{ and } s = 0.5 \dots$	62
4.1	Maturity levels and related key process areas in the SW-CMM	95
4.2	Structure of the SW-CMM reference model	96
4.3	Expected benefits of increased software process maturity	99
4.4	Capability levels and related process attributes in the ISO/IEC TR 15504 refer-	
	ence model	106
4.5	Structure of the ISO/IEC TR 15504 reference and assessment models	107

viii List of figures

4.6	Box-and-whisker-plot of the deviations of the mean scores from the normalized
	<i>summative scores</i>
В.1	Project A, failure data
B.2	Project B, "raw" failure data
B.3	Project B, testing effort and number of failure occurrences per test case 170
B.4	Project B, adjusted failure data $\dots \dots \dots$
B.5	Project C, failure data
B.6	Project D, failure data
B.7	Project E (second and third integration test cycle), failure data $\dots \dots 174$
B.8	$Project \ E \ (\textit{first system test cycle}), \ \textit{failure data} \ \dots \ $
B.9	$Project \ E \ (second \ system \ test \ cycle), \ failure \ data \ . \ . \ . \ . \ . \ . \ . \ . \ . \ $
B.10	$Project\ F,\ failure\ data  . \ . \ . \ . \ . \ . \ . \ . \ . \ .$
B.11	Project G, failure data $\dots \dots \dots$
B.12	Project H, failure data
B.13	$\textit{Project I, failure data} \dots \dots$
B.14	$\textit{Project J, failure data} \dots \dots$
B.15	Project K, failure data  .  .  .  .  .  .  .  .  .
B.16	Project L, failure data  .  .  .  .  .  .  .  .  .
B.17	Project M, failure data
B.18	Project M, coverage data
B.19	$\textit{Project N (all plug-ins), failure data} \ldots \ldots$
B.20	$\textit{Project N (all plug-ins), coverage data} \ldots \ldots$
B.21	$\textit{Project N (plug-in S only), failure data} \ \dots \ $
B.22	$\textit{Project N (plug-in S only), coverage data} \ \dots \ $
B.23	Project O, failure data
B.24	Project P, failure data
B.25	Project P, coverage data
D.1	Cardinalities in the crowsfoot notation
D.2	Example for a relationship type
D.3	Example for an inheritance relationship

# List of tables

3.1	Unified functional forms of $\mu(i)$ and $E(M_i)$ for both extended partial redundancy models
3.2	Interpretation of the parameters in the unified functions
3.3	Mean ranks of the seven approaches based on failure data only
3.4	Mean ranks of twenty-two approaches, including staged procedures, omitting the
	estimates based on the first 4 data points
3.5	Mean ranks of twenty-two approaches, including staged procedures, omitting the
	estimates based on the first 15 data points
4.1	Number of projects (out of 14) for which the processes were not applicable or for
	which ratings were not available
4.2	Correlations matrix for the mean ratings of all applicable development processes 119
4.3	Processes evaluated in an assessment and with the PETS questionnaire 120
5.1	$Parameter\ estimates\ obtained\ for\ the\ first\ extended\ partial\ redundancy\ model\ via$
	the ML-NHPP procedure
5.2	Correlations between all process scores and (the logarithm of) the estimated fault
	density
5.3	Environmental factors related to software development and the software product . 132
5.4	(Average) Correlations between the environmental factors related to software de-
	velopment and the product
5.5	(Average) Correlations between environmental factors and (the logarithm of) the
	estimated fault density
5.6	Fitted models of estimated fault density
5.7	Estimated fault densities according to the first extended partial redundancy model
	and average fitted values of the models (I) and (II)
5.8	Fitted models of the logarithm of the estimated fault density
5.9	Influence of testing capability on the estimated redundancy level
5.10	Environmental factors related to the test process
5.11	(Average) Correlations between the environmental factors related to testing 146

x List of tables

5.12	Influence of the environmental factors related to testing on the estimated redun-	
	$\textit{dancy level} \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots$	147
5.13	Results of fitting the zero inflated binomial model	151
C.1	Explanation of entries in the tables of results	191
C.2	$\textit{Model performance measures for project $A$}  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  \ldots  $	193
C.3	Model performance measures for project $B$	194
C.4	Model performance measures for project $C$	195
C.5	Model performance measures for project $D$	196
C.6	$Model\ performance\ measures\ for\ project\ E\ (second\ a.\ third\ integration\ test\ cycle)$	197
C.7	$\textit{Model performance measures for project E (first system test cycle)} \ \dots \ \dots \ \dots$	198
C.8	$\textit{Model performance measures for project E (second system test cycle)} \ldots \ldots$	199
C.9	Model performance measures for project $F$	200
C.10	Model performance measures for project $G$	201
C.11	Model performance measures for project $H$	202
C.12	Model performance measures for project $I$	203
C.13	Model performance measures for project $J$	204
C.14	Model performance measures for project $K$	205
C.15	Model performance measures for project $L$	206
C.16	Model performance measures for project $M$	207
C.17	Model performance measures for project N (all plug-ins)	210
C.18	Model performance measures for project N (plug-in S only) $\dots \dots \dots$	213
C.19	Model performance measures for project $O$	216
C.20	Model performance measures for project $P$	217

### Frequently used notation

#### Functions and random variables

 $\Gamma(x)$  gamma function

 $\Delta^n f(x)$   $n^{th}$  forward difference of f(x)

 $\exp(x)$  exponential function

E(X) expected value of random variable X

F(x) distribution function

 $I_A(x)$  indicator function for  $x \in A$   $\ln(x)$  natural logarithm of x

 $\mathcal{L}$  likelihood function  $P(H_1)$  probability of the event  $H_1$ 

 $P(H_1 \mid H_2)$  probability of the event  $H_1$  conditional on the event  $H_2$ 

 $P_{[m]}$  probability of the occurrence of exactly m events

 $S_m$  sum of the probabilities of the simultaneous occurrence of at least m events

### Goodness of fit measures and other metrics

 $AIC_j$  Akaike's information criterion calculated based on the first j observations

 $\alpha$  Cronbach's  $\alpha$  C condition number

 $d_{(p)}$  p-quantile of the observations of d

 $d_{(0.5)}$  0.5-quantile of the observations of d (= median of d)

 $\kappa$  Cohen's  $\kappa$ 

 $\lambda_{\min}, \lambda_{\max}$  smallest and largest eigenvalue of a matrix

 $R^2$  coefficient of determination

 $\begin{array}{ll} R_{adj}^2 & \text{adjusted coefficient of determination} \\ R_{AN}^2 & \text{Aldrich's and Nelson's pseudo } R^2 \text{ measure} \end{array}$ 

 $R_{MF}^2$  McFadden's pseudo  $R^2$  measure

 $R_{MZ}^2$  McKelvey's and Zavoina's pseudo  $R^2$  measure

 $\rho$  empirical correlation coefficient

#### Miscellaneous

N integer numbers

 $\mathbb{N}_0$  integer numbers including zero  $\mathbb{R}_0^+$  positive real numbers including zero  $\lfloor x \rfloor$  largest integer less than or equal to x

 $\binom{n}{k}$  binomial coefficient =  $\frac{n!}{k!(n-k)!}$ 

 $\infty$  infinity

 $\propto$  proportional to

### Abbreviations

4 GL fourth generation programming language

CAF CMM Appraisal Framework

CASE computer aided software engineering

CBA IPI CMM-Based Appraisal Framework for Internal Process Improvement

CM configuration management
CMM Capability Maturity Model
CPU central processing unit

CUS customer-supplier process category

DDIF development difficulty
DEFF development effort

DEPI development effort performance index
DMSK development team manager's skill level
DRPI development runtime performance index

DRUN development runtime

DTSI size of the development team ENG engineering process category

FDEN fault density

IEC International Electrotechnical Commission
ISO International Organization for Standardization

LS-Cum Least squares estimation based on the cumulative number of failure occur-

rences

LS-Delta Least squares estimation based on the number of failure occurrences per

test case

MAN management process category
MARE mean absolute relative error
MIS management information system

ML-NHPP Maximum likelihood estimation based on the likelihood following from in-

terpreting the model as a non-homogenous Poisson process model

ML-SetupC Maximum likelihood estimation based on the likelihood implied by the

model setup

ORG organization process category

PAUT proportion of automated test cases

PETS Prediction of software Error rates based on Test and Software maturity

results

PGSK programmers' general skill level

PNDT proportion of new members in the development team

PNTT proportion of new members in the test team

PRCH proportion of requirements changed after the specification phase

PRCO proportion of reused code

PREJ proportion of rejected failure messages

PSSK programmers' specific skill level

PTED proportion of testers with a special education as test engineers

QA quality assurance

SARE short term absolute relative error

SCAP selective capability rating

SCE Software Capability Evaluation
SEI Software Engineering Institute
SICC size of the compiled code

SPICE Software Process Improvement and Capability dEtermination

SSE error sum of squares
SST total sum of squares
SUP support process category

SW-CMM Capability Maturity Model for Software

TCAP testing capability rating

TDIF testing difficulty
TEFF testing effort

TEPI testing effort performance index

TGSK testers' general skill level
TMSK test team manager's skill level
TRPI testing runtime performance index

TRUN testing runtime

TSSK testers' specific skill level
TTSI size of the test team

### Software reliability models / software failure models

	, , , , , , , , , , , , , , , , , , , ,
$c(\tilde{t})$	deterministic relative code coverage function
E	code construct state "eliminated"
EC	code construct state "eliminated and correct"
EF	code construct state "eliminated and faulty"
$g(\tilde{t})$	testing efficiency function
$g_{ ilde{i}}$	testing efficiency at the $\tilde{i}^{th}$ stage of testing
G	total number of code constructs
$G_{A,i}$	number of code constructs that are located in state $A$ after execution of the
	$i^{th}$ test case
$G_{A,p,i}$	number of code constructs that are located in state $A$ before the $i^{th}$ test
	case execution and that are exercised by this test case
$G_{A \to B,i}$	number of code constructs residing in state $A$ before the $i^{th}$ test case exe-
	cution and in state $B$ afterwards
$G_{TF \to T, p, i}$	number of already tested, faulty code constructs that are tested and replaced
	during the $i^{th}$ test case
$G_{TF \to TF, p, i}$	number of already tested, faulty code constructs that are tested and replaced
	without activating the fault during the $i^{th}$ test case
i	number of test cases executed
$i_j$	test case at which the $j^{th}$ measurement was taken
$i_t$	total number of test cases in the test plan
$\widetilde{i}$	generic discrete measure of testing progress
K	fault exposure ratio
$\kappa(\tilde{t})$	expected relative code coverage function
$\lambda(\tilde{t})$	failure intensity function
$\lambda_{ ilde{i}}$	failure intensity at the $\tilde{i}^{th}$ stage of testing
$m_i$	number of failures experienced $/$ faults detected during the first $i$ test cases
$\Delta m_i$	number of failures experienced / faults detected during the $i^{th}$ test case
$\Delta m_j^*$	number of failures experienced / faults detected during the $j^{th}$ observation
	period, i.e. between the $(i_{j-1}+1)^{th}$ and the $i_j^{th}$ test case
$M(\tilde{t})$	random variable denoting the cumulative number of failures experienced by
	time $ ilde{t}$
$M_{ ilde{i}}$	random variable denoting the cumulative number of failures experienced
	during the first $\tilde{i}$ stages of testing
$\mu(\tilde{t})$	mean value function, $E(M(\tilde{t}))$
N	expected number of inherent faults
$\nu_d$	expected number of inherent detectable faults

p	number of code constructs executed per test case
$q_i$	number of code constructs exercised during the first $i$ test cases
$\Delta q_i$	number of code constructs exercised during the $i^{th}$ test case
$\Delta q_j^*$	number of code constructs exercised during the $j^{th}$ observation period, i.e.
	between the $(i_{j-1}+1)^{th}$ and the $i_j^{th}$ test case
$Q(\tilde{t})$	random variable denoting the cumulative number of code constructs exer-
	cised by time $\tilde{t}$
$Q_{\tilde{i}}$	random variable denoting the cumulative number of code constructs exer-
	cised during the first $\tilde{i}$ stages of testing
r	redundancy level
s	fault activation probability
t	testing effort
$t^*$	calendar time
$\tilde{t}$	generic continuous measure of testing progress
T	code construct state "tested"
TC	code construct state "tested and correct"
TF	code construct state "tested and faulty"
au	CPU execution time
$u_0$	number of inherent faults
U	code construct state "untested"
UC	code construct state "untested and correct"
UF	code construct state "untested and faulty"
$w(t^*)$	instantaneous testing effort at calendar time $t^*$
$W(t^*)$	cumulative testing effort until calendar time $t^*$
$X_{I,i}$	random variable denoting the number of faulty code constructs exercised at
	least once during the first $i$ test cases
$\Delta X_{I,i}$	random variable denoting the number of faulty code constructs exercised
	for the first time by the $i^{th}$ test case
$\Delta X_{II,i}$	random variable denoting the number of faulty code constructs exercised
	by the $i^{th}$ test case
$\Xi_i$	random variable denoting the number of faulty constructs either corrected
	or eliminated during the first $i$ test cases
$z_i$	probability with which a certain previously not eliminated code construct
	is executed by the $i^{th}$ test case
$z_a(\tilde{t})$	per-fault hazard rate at time $\tilde{t}$
$z_{a,\tilde{i}}$	per-fault detection rate at the $\tilde{i}^{th}$ stage
$z(\Delta \tilde{t} \mid \tilde{t}_{n-1})$	hazard rate of the application after the $(n-1)^{th}$ failure occurrence