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**Application-oriented evaluation  
of fault-tolerant systems**

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**Max Walter**

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# Abstract

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In fault-tolerant systems, redundancy in terms of hardware, software, repeated computations, or additional information is used to increase dependability. As redundancy is costly and might influence performance in a negative way, stochastic dependability models are used for a quantitative assessment of attributes like reliability, safety, and availability.

The thesis first summarizes the existing modeling concepts for fault tolerant systems, namely combinational methods (e.g. fault trees and reliability block diagrams), state-based models (e.g. Markov chains, stochastic Petri nets, and models based on a stochastic process algebra), as well as hybrid methods, which combine different kind of modeling paradigms.

Furthermore, it describes a novel, application-oriented approach for the evaluation of fault-tolerant systems. In this approach, the system is modeled using a high-level, application specific input model, which is automatically transformed into a lower-level formal model. Using existing software packages, the formal model is in turn transformed into a mathematical model which can be analyzed numerically. The results of this evaluation are presented within the scope of the high-level input model, though.

The novel approach is able to calculate the overall system's dependability from information given on the components it is built of, including information on the components themselves (e.g. MTTF- and MTTR-values), information on which combination of component failures imply a system failure (i.e. the redundancy structure of the system), as well as information on inter-component dependencies like failures with a common cause, failure propagation, different kind of redundancy strategies, non-dedicated repairmen and so on.

After first describing the basic design principles, the thesis also describes four specific tools which have been implemented according to these principles. The Simple but Extensive, Structured Availability Modeling Environment (OpenSESAME) was developed for the evaluation of High-Availability systems, The Safety Modeling Environment (SafeME) is tailored towards safety-critical systems, Information Flow Diagrams (IFD) are used to model emergency shutdown systems, and The Copula-

BAseD Reliability and Availability Modeling Environment (COBAREA) is intended for the analysis of fault-tolerant digital circuits.

In general, the evaluation of stochastic dependability models is very demanding in terms of CPU-time and memory. To alleviate this problem, the thesis also presents a novel divide-and-conquer algorithm allowing to divide large dependability models into independent parts which can be analyzed separately. The algorithm was applied in the tools mentioned above, but could be reused in similar evaluation approaches, as well.

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München, November 2008,

Max Walter

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## Abbreviations & notation

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$A$	(steady-state) availability
$A(t)$	transient availability at time $t$
AP	adjunct processor
APP	application
AdvancedTCA	advanced telecom computing architecture
ASU	actor/sensor unit
BDD	binary decision diagram
BDMP	Boolean-logic driven Markov process
COBAREA	The Copula-based Reliability and Availability Modeling Environment
CT	conjunction term
CU	control unit
DB	database
DEC-node	decision node (in an IFD)
DFT	dynamic fault tree
DNF	disjunctive normalform
DNS	domain name service
DRBD	dynamic reliability block diagram
EED	edge expansion diagram
ESDU	emergency shutdown unit
FT	fault tree
FDD	failure dependency diagram
FDS	failure dependency source
FDT	failure dependency target
FMEA	failure mode effects analysis
FP	failure propagation
FPIP	failure propagation input place
FPOP	failure propagation output place
FRU	field replaceable unit
GSPN	generalized stochastic Petri net
GUI	graphical user interface
IC	intergrated circuit
ID	interrelation diagram

IFD	information flow diagram
IO or I/O	input/output
IRS	interrelation source
IRT	interrelation target
HTTP	hyper-text transport protocol
HSC	hotswap controller
$\lambda$	failure rate
LAN	local area network
MIL-HDBK	military handbook
MTBF	mean time between failures
MTTF	mean time to failure
MTTR	mean time to repair
$\mu$	repair rate
NOF	number of failures
P	Petri net place
PN	Petri net
$R(t)$	reliability at time $t$
RAID	redundant array of independent disks
RBD	reliability block diagram
RTB	rear transition board
SBC	single board computer
SafeME	The Safety Modeling Environment
SESAME	Simple but Extensive Structured Availability Modeling Environment
SN	Siemens Norm
SPN	stochastic Petri net
SS7	signalling system 7
ST-node	standard IFD node
T	Petri net transition
TMR	triple modular redundancy
$U$	(steady-state) unavailability
$U(t)$	transient unavailability
UML	unified modeling language
WD-node	watchdog node (in an IFD)
$\vee$	Boolean OR operator
$\wedge$	Boolean AND operator
$\neg$	Boolean NOT operator